

beta



## **FDMS Version 4.4 Release Notes**

**Release Date: June 15, 2013**

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## Release Summary

The FDMS.gov Beta version 4.4 release provides users with additional functionality including the introduction of Bulk Import and Advanced Search Functionality. The primary changes to FDMS.gov Beta for this release include the following:

- Advanced Search
- Bulk Import
- Docket Permissions Tab
- Docket Unified Agenda Tab
- Batch Processing for Document Subtype
- Deduplication Report Enhancements
- Improvements Addressed
- Defects Addressed

## Advanced Search

The **Advanced Search** functionality in FDMS can be used to perform detailed searches on Dockets and Documents. The search results view will be the same as the Quick Search results view implemented in a previous release:

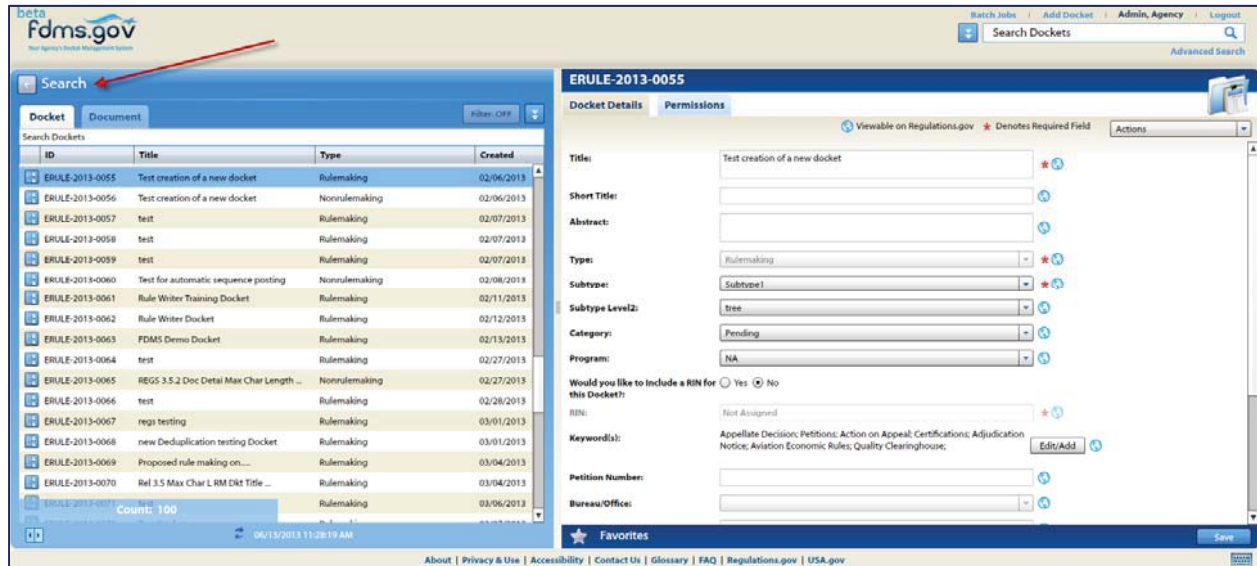


Figure 1 : Advanced Search Results

## Advanced Search – Docket

Upon selecting the *Docket* radio button in Advanced Search, users have the ability to search by the following criteria:

- Title, Abstract, Short Title
- Docket ID, Legacy ID
- Modified Date, Creation Date
- Agency
- Type
- Subtype
- Subtype Level 2
- Program
- Category
- RIN
- Keyword
- Organization
- Petition Number

The screenshot displays the 'Advanced Search - Docket' page on the FDMS.gov website. On the left, a 'Menu' sidebar lists various user-centric links: 'Inbox' (439 / 3680), 'FR Feed' (70), 'My Favorites' (112 / 103), 'Recently Viewed' (101 / 100), and 'Flagged Documents' (664). The main content area is titled 'Advanced Search' and features a search bar with the text 'Search Dockets'. Below the search bar, there are several search criteria sections. The 'Find:' section has radio buttons for 'Document' and 'Docket', with 'Docket' selected. The 'text for all these words:' section has a text input field and a 'Modify' button. The 'has an ID number:' section has a text input field and a 'Modify' button. The 'date(s):' section has radio buttons for 'Date Range', 'Specific Date', and 'Modified Date', with 'Date Range' selected. Below these, there are 'From:' and 'To:' date pickers. The 'Narrow your results by:' section contains several dropdown menus: 'Agency' (ERULE - ERULE TEST AND DEVELOPMENT AGENCY), 'Type' (All Types), 'Subtype' (All Subtypes), 'Subtype Level 2' (All Subtypes), 'Program' (All Programs), and 'Category' (All Categories). At the bottom right of the search area, there are 'Clear All' and 'Search' buttons. The footer of the page contains links: 'About | Privacy & Use | Accessibility | Contact Us | Glossary | FAQ | Regulations.gov | USA.gov'.

Figure 2 : Advanced Search – Docket

## Advanced Search – Document

Upon selecting the *Document* radio button in Advanced Search, users have the ability to search by the following criteria:

- Title, Abstract, Subject
- Document ID, Docket ID, Legacy Document ID, Federal Register Number, Original Document ID
- Posted Date, Modified Date, Comment Due Date, Comment Start Date, Author Date, Creation Date, Effective Date, Implementation Date, Postmark Date, Received Date
- Agency
- Type
- Subtype
- Status
- Posting Restrictions
- Posting Restriction Reason
- Authors
- Topics
- Submitter First Name
- Submitter Last Name
- Submitter Organization

The screenshot shows the 'Advanced Search' page for Document search. On the left is a 'Menu' with links to 'Inbox' (439 / 3680), 'FR Feed' (70), 'My Favorites' (112 / 103), 'Recently Viewed' (101 / 100), and 'Flagged Documents' (664). The main search area includes fields for 'Find: Document Docket with...', 'text for all these words', 'has an ID number', and 'date(s)'. There are also filters for 'Agency' (ERULE - ERULE TEST AND DEVELOPMENT AGENCY), 'Type' (Rule, Proposed Rule, Notice, Supporting Material, Public Submissions, Other), 'Subtype' (All Subtypes), 'Status' (All Statuses), 'Posting Restrictions' (No restrictions), and 'Posting Restriction Reason'. A 'Narrow your results by:' section is also present. The bottom of the page has links for 'About', 'Privacy & Use', 'Accessibility', 'Contact Us', 'Glossary', 'FAQ', 'Regulations.gov', and 'USA.gov'.

Figure 3 : Advanced Search - Document

## Bulk Import

The **Bulk Import** functionality allows users to quickly add one or multiple files quickly and efficiently. By clicking on the *Add Doc/Bulk Import* button on the Docket Tree, users have the ability to:

- Add Document: Add one Document to the Docket with or without attachments
- Bulk Import: Add more than one Document to the Docket

The screenshot shows the 'Docket Tree' on the left and 'Document Details' on the right. The 'Docket Tree' shows a docket for 'ERULE-2011-0011' with a 'Group By: Phase-Sequence' dropdown and an 'Add Doc/Bulk Import' button highlighted by a red arrow. Below the docket tree is a list of documents: 'ERULE-2011-0011-DRAFT-0001' and 'ERULE-2011-0011-DRAFT-0002'. The 'Document Details' section shows fields for 'Title', 'Short Title Updated', 'Abstract Updated', 'Type', 'Subtype', 'Subtype Level2 Updated', 'Bureau/Office Updated', 'Organization Updated', 'Category Updated', 'Program Updated', 'Keyword(s)', 'Petition Number', and 'Internal Comments Updated'. The 'Keyword(s)' field has a list of suggestions: 'Certifications; Action on Appeal; Appellate Decision; Adjudication Notice; Aviation Economic Rules; Quality Clearinghouse; Petitions; User Fees'. The bottom of the page has links for 'About', 'Privacy & Use', 'Accessibility', 'Contact Us', 'Glossary', 'FAQ', 'Regulations.gov', and 'USA.gov'.

Figure 4 : Bulk Import

Users can create a Document with or without a file and can Bulk Import an unlimited number of files. The popup screen gives users the ability to:

- Select the type of action to complete: Add Document or Bulk Import
- Select the Document Type to add
- Include Submitter Information
- Browse for Files to Upload
  - Edit the Title of the Files
  - Remove a file from the list

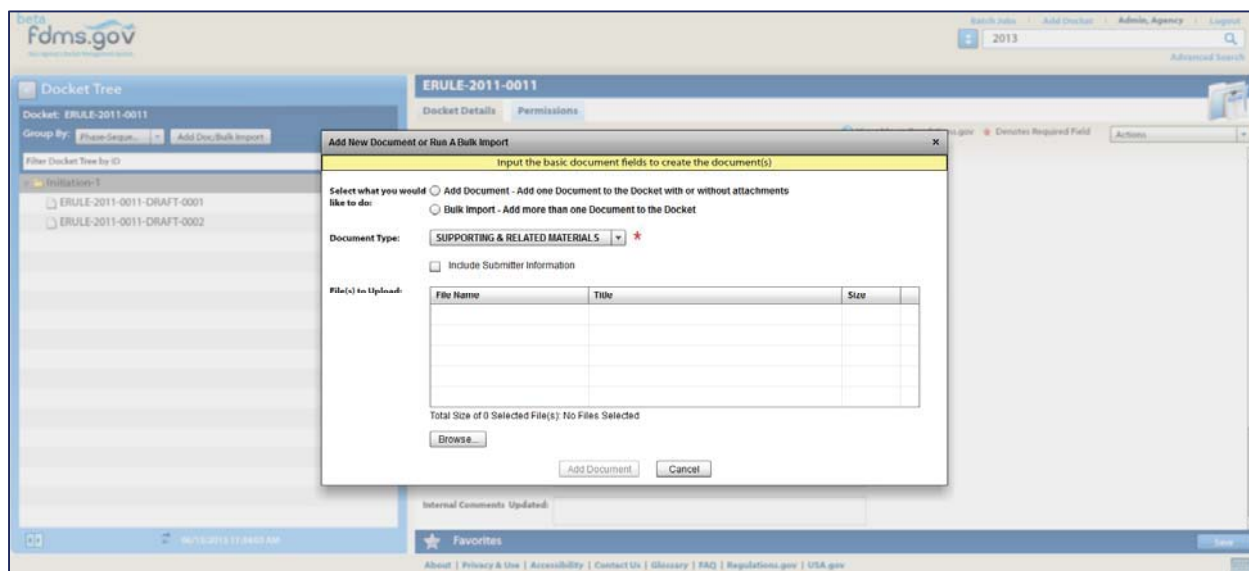


Figure 5 : Bulk Import Pop Up

**Please Note:** Some agencies may experience issues with larger file imports (over 100 files). If you experience any issues adding or importing documents please contact the help desk so that we may investigate further.

## Docket Permissions Tab

A *Permissions* tab was added on the Docket level. The general rules for permissions on the Docket are the following:

- Agency Administrators and Records Managers will always have write access to the Docket.
- Agency view can only have read access to the Docket.
- Users from other agencies have read access to posted items in the Docket.

In addition, users can select from the following two options:

- Use default permissions: all agency users are given permissions to access this docket
- Only grant permissions to the assigned docket manager, rule writer and individuals or groups selected

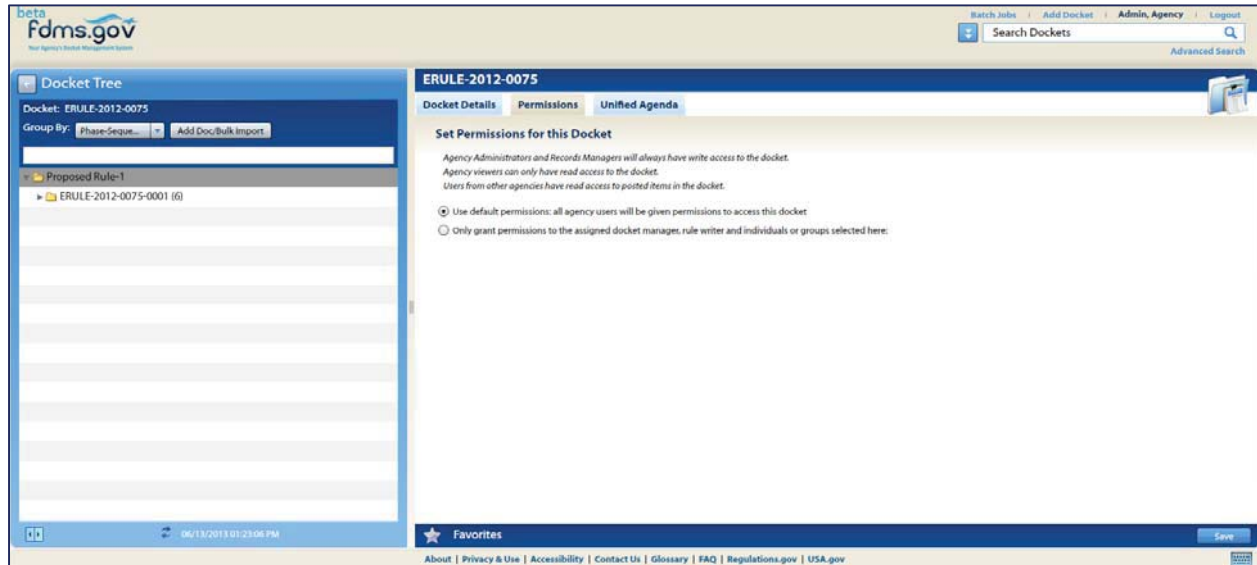


Figure 6 : Docket Permissions Tab

## Docket Unified Agenda Tab

All Rulemaking Dockets with a valid RIN will have a *Unified Agenda* tab. This tab will only show for Agency Administrators and Assigned Docket Managers. The tab will contain all metadata associated with that RIN from the most recent Publication of the Unified Agenda. Users with write permissions to the Docket will have the ability to edit the following Unified Agenda fields:

- Abstract
- Points of Contact (POC)
  - **Please Note:** Users may add as many POCs as desired, however on the *Regulations.gov* Docket Folder Summary page, only 2 POCs will be viewable. If a user accesses the *Unified Agenda* link on *Regulations.gov*, all POCs will be viewable.



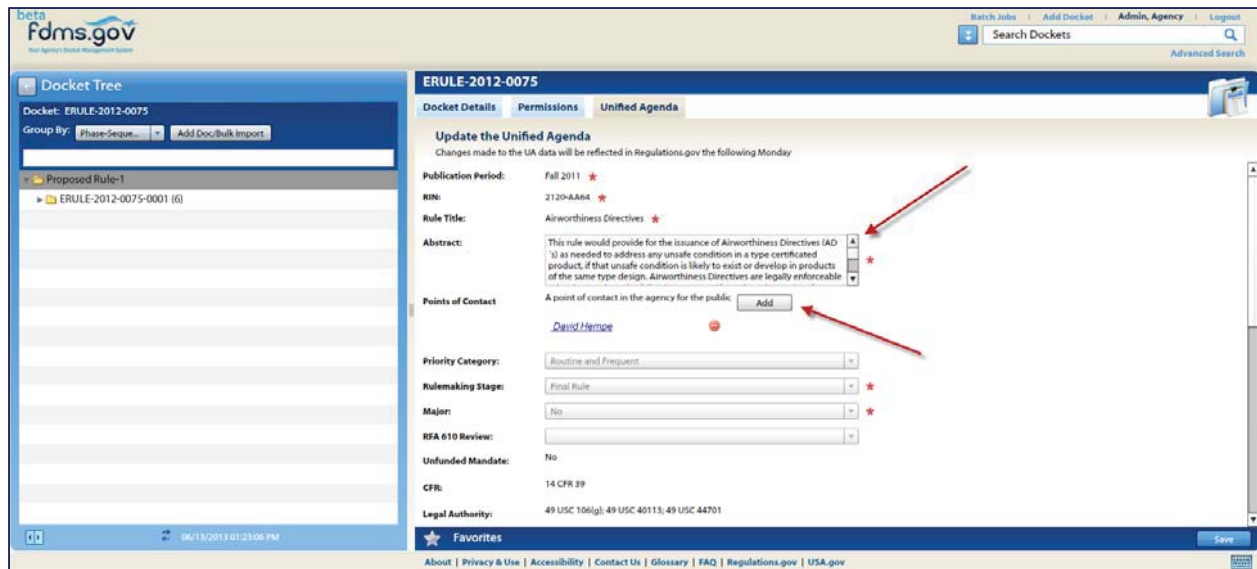


Figure 7 : Docket Unified Agenda Tab

**Please Note:** Changes made to the UA data will be reflected in Regulations.gov the following Monday.

## Batch Processing for Document Subtype

In addition to the Batch Processing options implemented in the FDMS 4.3 release, users can now complete *Batch Processing for Document Subtypes* for Documents of the same Type. Users can complete this action from the Docket Tree or the Deduplication Tree.

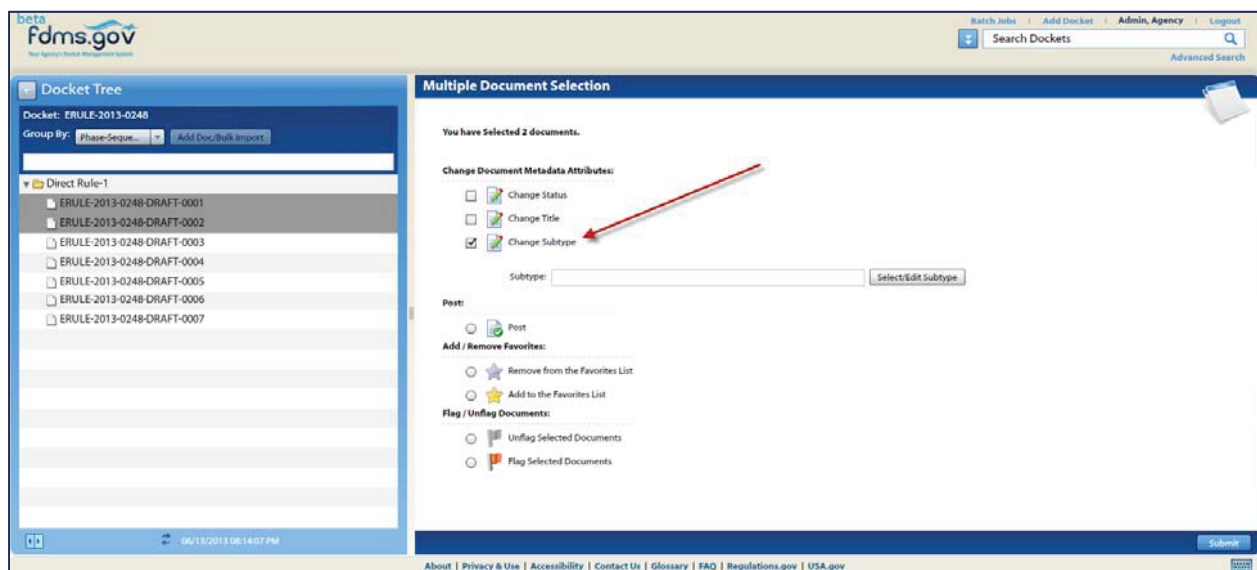
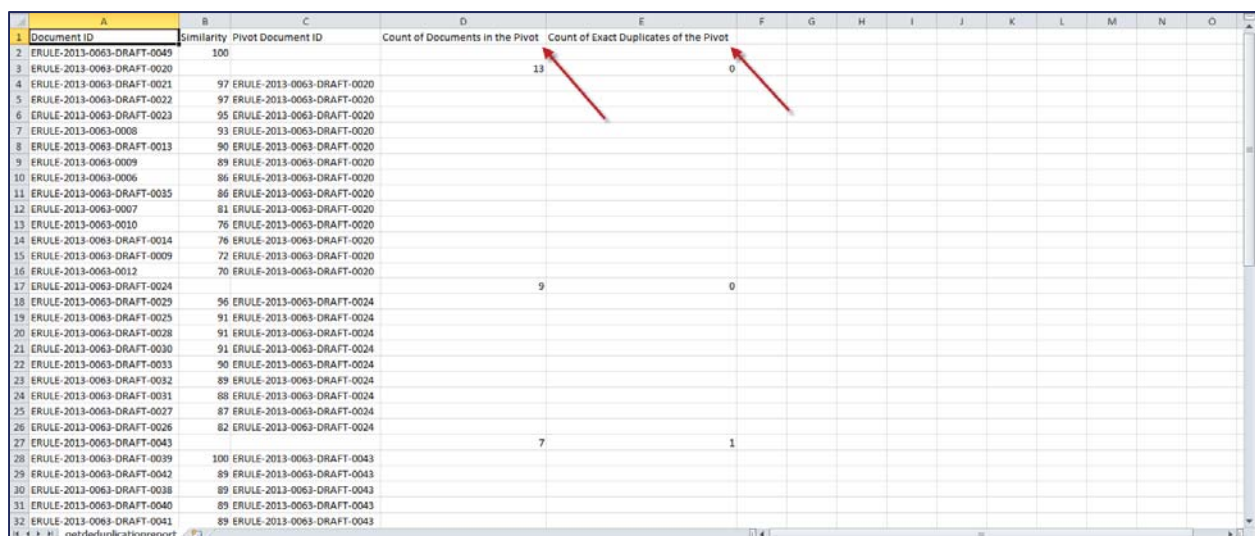


Figure 8 : Batch Processing for Document Subtype

## Deduplication Report Enhancements

In order to provide users with a summation of their deduplication results, the following two columns were added to the Deduplication Report:

- *Count of Documents in the Pivot*
  - Provides users with the number of Documents that are considered near or exact duplicates of the Pivot Document.
- *Count of Exact Duplicates of the Pivot*
  - Provides users with the number of Documents that are considered exact duplicates of the Pivot Document.



Document ID	Similarity	Pivot Document ID	Count of Documents in the Pivot	Count of Exact Duplicates of the Pivot
ERULE-2013-0063-DRAFT-0045	100		13	0
ERULE-2013-0063-DRAFT-0020		97 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0021		97 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0022		97 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0023		95 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0008		93 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0013		90 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0009		89 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0006		86 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0035		86 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0007		81 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0010		76 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0014		76 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0009		72 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-0012		70 ERULE-2013-0063-DRAFT-0020		
ERULE-2013-0063-DRAFT-0024		96 ERULE-2013-0063-DRAFT-0024	9	0
ERULE-2013-0063-DRAFT-0029		91 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0025		91 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0028		91 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0030		91 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0033		90 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0032		89 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0031		88 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0027		87 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0026		82 ERULE-2013-0063-DRAFT-0024		
ERULE-2013-0063-DRAFT-0043		100 ERULE-2013-0063-DRAFT-0043	7	1
ERULE-2013-0063-DRAFT-0039		89 ERULE-2013-0063-DRAFT-0043		
ERULE-2013-0063-DRAFT-0042		89 ERULE-2013-0063-DRAFT-0043		
ERULE-2013-0063-DRAFT-0038		89 ERULE-2013-0063-DRAFT-0043		
ERULE-2013-0063-DRAFT-0040		89 ERULE-2013-0063-DRAFT-0043		
ERULE-2013-0063-DRAFT-0041		89 ERULE-2013-0063-DRAFT-0043		

Figure 9 : Deduplication Report Enhancements

## Defects Addressed

- **Docket Tree:** Some users reported slowness or timeouts when opening large Dockets in the FDMS Beta environment.
  - Users should now be able to open large Dockets without an issue.
- **Attachments:**
  - Grammatical correction in Attachment Copy Confirmation
    - Correction made.
  - Grammatical correction in Restriction Explanation field in the Attachment Tab
    - Correction made.
- **Document Details:** 'Count of Public Submissions in FDMS on this FR' was not updating
  - Counts will now update appropriately.

- **Batch Jobs:**
  - Page was not loading when a user had 0 batch jobs.
    - Page will now load and give user a message that there are no batch jobs.
  - Title was cutting off on the Batch Item Document Details screen
    - Title will now wrap to display correctly.

### Known Issues in this Release

- **Add Doc/Bulk Import:** Some users may experience issues with larger file imports (over 100 files). If you experience issues when adding or importing documents please contact the help desk so that we may investigate further.
- **Docket Tree:** Date Received view shows counts for each time period rather than a cumulative count.